

## **THE ARMS TRADE TREATY**

### **REPORTING TEMPLATE**

#### **ANNUAL REPORT IN ACCORDANCE WITH ARTICLE 13(3) - EXPORTS AND IMPORTS OF CONVENTIONAL ARMS COVERED UNDER ARTICLE 2 (1)**

This provisional template is intended for use by States Parties to the Arms Trade Treaty when preparing their annual report in accordance with the Treaty's Article 13(3).

The template has two main tables, one for exports and the other for imports. The tables are similar in construction, making it possible to have a common set of explanatory notes for both.

Article 5(3) of the Treaty states that "National definitions of any of the categories covered under Article 2 (1) (a)-(g) shall not cover less than the descriptions used in the United Nations Register of Conventional Arms at the time of entry into force of this Treaty". Against this background, Annex 1 reproduces the UN Registry Definitions of Categories I-VII at the time of the ATT's entry into force. For category VIII (small arms and light weapons), the UN Registry template for voluntary reporting of this category at the time of the ATT's entry into force has been employed as an approximation.

Annex 2 allows reporting States Parties to, if they so wish, include more specific information on national definitions of reported categories.

Annex 3 comprises two templates for nil reports, one for exports and one for imports. They may be used in place of a report in table format if a States Party has no transactions to report.

The title page of the template contains information on the submitting country and authority, but also a 'table of contents' in tick-box form, to indicate which of the different available forms have been included in the national submission. There is also a (voluntary) section where the reporting Government may indicate whether any commercially sensitive and/or national security-related data has been withheld in accordance with Article 13.3 of the Treaty

On the title page of each of the four reporting forms (exports, imports, nil exports, nil imports) a State Party has the option of indicating that the form is for distribution only to other States Parties to the Treaty. This makes it possible to restrict access to some forms but not others, which provides an additional measure of flexibility to the reporting States Party.

**GOVERNMENT OF THE REPUBLIC OF SERBIA****ANNUAL REPORT ON EXPORTS AND IMPORTS OF CONVENTIONAL ARMS, IN ACCORDANCE WITH ARTICLE 13(3) OF THE ARMS TRADE TREATY****REPORT FOR THE CALENDAR YEAR 2016****National Point of Contact for this Report:**

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<b>Date of Submission :</b>	<b>29 May, 2017</b>
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<b>Contents of report (check as appropriate)</b>		Yes	No
i)	Nil report on exports of conventional arms	<input type="checkbox"/>	<input type="checkbox"/>
ii)	Nil report on imports of conventional arms	<input type="checkbox"/>	<input type="checkbox"/>
iii)	Annual report on exports of conventional arms	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iv)	Annual report on imports of conventional arms	<input checked="" type="checkbox"/>	<input type="checkbox"/>
v)	National definitions of categories of conventional arms reported	<input type="checkbox"/>	<input type="checkbox"/>

<b>Scope of report (voluntary information)</b>	Yes	No
In the submitted report, some commercially sensitive and/or national security-related data has been withheld in accordance with Article 13.3 of the Treaty	<input type="checkbox"/>	<input checked="" type="checkbox"/>

**EXPORTS OF CONVENTIONAL ARMS<sup>1</sup>****- SHADED COLUMNS AND ROWS REPRESENT VOLUNTARY INFORMATION -**

<b>Reporting country:</b>	<b>REPUBLIC OF SERBIA</b>	<b>Calendar Year: 2016</b>	<b>Cutoff date<sup>2</sup>: 31 December, 2016</b>
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<b>In this report, the following definition of the term exports was used<sup>3</sup> (check as appropriate) :</b>		
Physical transfer of items across a national border :	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Transfer of title :	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Transfer of control :	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Other (please provide a brief description below) :	Yes <input type="checkbox"/>	No <input type="checkbox"/>

<b>This Annual Report on exports is available only to States Parties</b>	<input type="checkbox"/>
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Category of arms <sup>4</sup> [I-VIII]	Authorised or actual exports <sup>5</sup>		Extent of exports <sup>6</sup> (choose one or both)		Final importing State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
	Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1	2	3	4	5	6	7	8	9
<b>A. I-VII UN Registry Categories<sup>12</sup></b> (national definitions shall not cover less than the definitions provided in Annex 1 <sup>13</sup> )								
I.	Battle tanks	<input type="checkbox"/>	<input type="checkbox"/>					
II.	Armoured combat vehicles	<input type="checkbox"/>	<input checked="" type="checkbox"/>	9				Actual export – departure of equipment from exporter`s territory  If the column 7 contains two states, the first one refers to the state from which the items were imported

Category of arms <sup>4</sup> [I-VIII]		Authorised or actual exports <sup>5</sup>		Extent of exports <sup>6</sup> (choose one or both)		Final importing State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
		Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
				6		Bangladesh		-Forward Observer's Vehicle with standard accessories (armored version) -Battery Commander's Vehicle with standard accessories (armored version) -Battery Command Post Vehicle with standard accessories (armored version)	
				3		Bulgaria	former SFRY	Armored transport vehicle OT M60	
III.	Large-calibre artillery systems	<input type="checkbox"/>	<input checked="" type="checkbox"/>	2.734					
				6		Bangladesh		Main Gun Howitzer with the main vehicle and standard accessories	
				500		Bulgaria		Mortar 82 mm	
				80		Cameroon		Mortar 81 mm and Mortar 120 mm	

Category of arms <sup>4</sup> [I-VIII]			Authorised or actual exports <sup>5</sup>		Extent of exports <sup>6</sup> (choose one or both)		Final importing State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
			Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1			2	3	4	5	6	7	8	9
					2		Egypt	former USSR	Cannon 130 mm	
					1		Hungary	former SFRY	Howitzer 155 mm	
					18		Kenya		Mortar 81 mm	
					49		Nigeria		Mortar 120 mm	<b>51 pieces of mortar 120 mm were exported to Nigeria in 2015, but due to the technical error the actual export was not reported in the previous report</b>
					875		Saudi Arabia	Bosnia and Herzegovina	Mortar 82 mm and Mortar 120 mm	
					847		Saudi Arabia		Mortar 81 mm, Mortar 82 mm and Mortar 120 mm	
					25		Saudi Arabia	Montenegro (former SFRY)	Mortar 82 mm and Mortar 120 mm	
					165		Saudi Arabia	Croatia	Multiple rocket launcher 128 mm	
					1		Switzerland	former SFRY	Howitzer 105 mm	
					100		United Arab Emirates		Mortar 82 mm	

Category of arms <sup>4</sup> [I-VIII]			Authorised or actual exports <sup>5</sup>		Extent of exports <sup>6</sup> (choose one or both)		Final importing State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
			Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1			2	3	4	5	6	7	8	9
					54		United Arab Emirates	former SFRY	Mortar 120 mm and 128 mm multiple rocket launcher towed	
					10		United Arab Emirates	Croatia	Multiple rocket launcher 107 mm	
					1		United States of America		Towed launcher for 128 mm rockets	
IV.	Combat Aircraft	a) manned	<input type="checkbox"/>	<input type="checkbox"/>						
		b) unmanned	<input type="checkbox"/>	<input type="checkbox"/>						
V.	Attack helicopters	a) manned	<input type="checkbox"/>	<input type="checkbox"/>						
		b) unmanned	<input type="checkbox"/>	<input type="checkbox"/>						
VI.	Warships		<input type="checkbox"/>	<input type="checkbox"/>						
VII.	Missiles & missile launchers	a) Missiles etc	<input type="checkbox"/>	<input checked="" type="checkbox"/>	15					
					15		Pakistan		122 mm G-2000 rockets, extended range 40 km	For testing purposes
		b) MANPADS	<input type="checkbox"/>	<input type="checkbox"/>						
<b>B. VIII. Small Arms and Light Weapons<sup>14, 15</sup></b>										

Category of arms <sup>4</sup> [I-VIII]		Authorised or actual exports <sup>5</sup>		Extent of exports <sup>6</sup> (choose one or both)		Final importing State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
		Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
Small Arms (aggregated) <sup>16</sup>		<input type="checkbox"/>	<input checked="" type="checkbox"/>	55.508					Actual export – departure of equipment from exporter's territory  If the column 7 contains two states, the first one refers to the state from which the items were imported
1.	Revolvers and self-loading pistols	<input type="checkbox"/>	<input checked="" type="checkbox"/>	15.401					
				140		Bosnia and Herzegovina			
				400		Burkina Faso			
				217		China	Slovenia (Austria)		
				39		former Yugoslav Republic of Macedonia			
				26		Ghana			
				50		Montenegro			
				18		Switzerland			
				14.511		United States of America			
2.	Rifles and carbines	<input type="checkbox"/>	<input checked="" type="checkbox"/>	23.251					



Category of arms <sup>4</sup> [I-VIII]	Authorised or actual exports <sup>5</sup>		Extent of exports <sup>6</sup> (choose one or both)		Final importing State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
	Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1	2	3	4	5	6	7	8	9
1.			10		Afghanistan			
2.			57		Algeria			
3.			15		Austria			
4.			228		Bosnia and Herzegovina			
5.			9		Bosnia and Herzegovina	Finland		
6.			101		Bosnia and Herzegovina	Russian Federation		
7.			71		Bulgaria			
8.			6		Cameroon			
9.			8		Comoros Islands			
10.			20		Congo (Democratic Republic of)			
11.			12		Czech Republic			
12.			53		former Yugoslav Republic of Macedonia			
13.			105		France			
14.			200		Iraq			
15.			16		Kenya			
16.			200		Malta			
17.			73		Montenegro			
18.			49		Pakistan			

Category of arms <sup>4</sup> [I-VIII]		Authorised or actual exports <sup>5</sup>		Extent of exports <sup>6</sup> (choose one or both)		Final importing State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
		Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
	19.			225		Russian Federation			
	20.			312		Saudi Arabia			
	21.			65		South Africa			
	22.			2		Switzerland			
	23.			213		United Arab Emirates			
	24.			21.201		United States of America			
3.	Sub-machine guns	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<b>1.060</b>					
				35		Afghanistan			
				613		Austria			
				10		Czech Republic			
				400		Germany			End-user: American military base in Germany
				2		Tunisia			
4.	Assault rifles	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<b>13.290</b>					
	1.			330		Afghanistan			
	2.			63		Algeria			
	3.			920		Congo (Democratic Republic of)	Bulgaria (Serbia)		
	4.			20		Czech Republic			

Category of arms <sup>4</sup> [I-VIII]		Authorised or actual exports <sup>5</sup>		Extent of exports <sup>6</sup> (choose one or both)		Final importing State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
		Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
	5.			2.002		Oman			
	6.			30		Pakistan			
	7.			900		Romania			End-user: American military base in Romania
	8.			9.000		Saudi Arabia			
	9.			25		United States of America			
5.	Light machine guns	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<b>2.506</b>					
				25		Afghanistan			
				114		Congo (Democratic Republic of)	Bulgaria		
				6		Congo (Democratic Republic of)	Bulgaria (former SFRY)		
				8		Czech Republic			
				2050		Saudi Arabia			
				100		Slovakia			
				200		United Arab Emirates			
				3		United States of America			
6.	Others	<input type="checkbox"/>	<input type="checkbox"/>						

Category of arms <sup>4</sup> [I-VIII]		Authorised or actual exports <sup>5</sup>		Extent of exports <sup>6</sup> (choose one or both)		Final importing State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
		Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
Light Weapons (aggregated) <sup>17</sup>		<input type="checkbox"/>	<input checked="" type="checkbox"/>	6.679					Actual export – departure of equipment from exporter's territory If the column 7 contains two states, the first one refers to the state from which the items were imported
1.	Heavy machine guns	<input type="checkbox"/>	<input checked="" type="checkbox"/>	1.501					
	1.			15		Afghanistan			
	2.			74		Austria			
	3.			66		Azerbaijan			
	4.			160		Cameroon			
	5.			1		Czech Republic			
	6.			5		Hungary			
	7.			2		Sao Tome and Principe			
	8.			130		Saudi Arabia			
	9.			2		Turkmenistan			
	10.			1.045		United Arab Emirates			
	11.			1		United States of America			

Category of arms <sup>4</sup> [I-VIII]		Authorised or actual exports <sup>5</sup>		Extent of exports <sup>6</sup> (choose one or both)		Final importing State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
		Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
2.	Hand-held under-barrel and mounted grenade launchers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	643					
				30		Algeria			
				2		Congo			
				11		Czech Republic			
				600		Egypt			
3.	Portable anti-tank guns	<input type="checkbox"/>	<input type="checkbox"/>						
4.	Recoilless rifles	<input type="checkbox"/>	<input type="checkbox"/>						
5.	Portable anti-tank missile launchers and rocket systems	<input type="checkbox"/>	<input checked="" type="checkbox"/>	3.341					
				10		Ghana	Montenegro (former SFRY)		
				717		Indonesia			
				474		Indonesia	Montenegro (former SFRY)		
				2.040		Saudi Arabia			
				100		United Arab Emirates	Slovakia		
6.	Mortars of calibres less than 75 mm	<input type="checkbox"/>	<input checked="" type="checkbox"/>	1.187					
	1.			50		Poland			



IMPORTS OF CONVENTIONAL ARMS<sup>1</sup>

- SHADED COLUMNS AND ROWS REPRESENT VOLUNTARY INFORMATION -

<b>Reporting country:</b>	<b>REPUBLIC OF SERBIA</b>	<b>Calendar Year: 2016</b>	<b>Cutoff date<sup>2</sup>: 31 December, 2016</b>
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<b>In this report, the following definition of the term imports was used<sup>3</sup> (check as appropriate) :</b>		
Physical transfer of items across a national border :	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Transfer of title :	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Transfer of control :	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Other (please provide a brief description below) :	Yes <input type="checkbox"/>	No <input type="checkbox"/>

<b>This Annual Report on imports is available only to States Parties</b>	<input type="checkbox"/>
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Category of arms <sup>4</sup> [I-VIII]	Authorised or actual imports <sup>5</sup>		Extent of imports <sup>6</sup> (choose one or both)		Exporting State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>		
	Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer	
1	2	3	4	5	6	7	8	9	
<b>A. I-VII UN Registry Categories<sup>12</sup></b> (national definitions shall not cover less than the definitions provided in Annex 1) <sup>13</sup>									
I.	Battle tanks	<input type="checkbox"/>	<input type="checkbox"/>						
II.	Armoured combat vehicles	<input type="checkbox"/>	<input type="checkbox"/>						
III.	Large-calibre artillery systems	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<b>1.248</b>					Actual import – arrival of equipment in the importer's territory
				875		Bosnia and Herzegovina		Mortar 82 mm and Mortar 120 mm	
				195		Croatia		Multiple rocket launcher 128 mm and Multiple rocket launcher 107 mm	
				22		Montenegro	former SFRY	Mortar 82 mm	
				156		Slovenia	former SFRY	Mortar 82 mm and Mortar 120 mm	For the purpose of repair and further use of spare parts
IV.	Combat aircraft	a) manned	<input type="checkbox"/>	<input type="checkbox"/>					
		b) unmanned	<input type="checkbox"/>	<input type="checkbox"/>					
V.	Attack helicopters	a) manned	<input type="checkbox"/>	<input checked="" type="checkbox"/>	2				Actual import – arrival of equipment in the



Category of arms <sup>4</sup> [I-VIII]		Authorized or actual imports <sup>5</sup>		Extent of imports <sup>6</sup> (choose one or both)		Exporting State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
		Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
									importer's territory
				2		Russian Federation		Helicopter Mi-17V-5	
	b) unmanned	<input type="checkbox"/>	<input type="checkbox"/>						
VI.	Warships	<input type="checkbox"/>	<input type="checkbox"/>						
VII.	Missiles & missile launchers	a) Missiles etc	<input type="checkbox"/>	<input type="checkbox"/>					
		b) MANPADS	<input type="checkbox"/>	<input type="checkbox"/>					
<b>B. VIII. Small Arms and Light Weapons<sup>14, 15</sup></b>									
Small Arms (aggregated) <sup>16</sup>		<input type="checkbox"/>	<input checked="" type="checkbox"/>	2.567					Actual import – arrival of equipment in the importer's territory
1.	Revolvers and self-loading pistols	<input type="checkbox"/>	<input checked="" type="checkbox"/>	644					
	1.			2		Austria			
	2.			26		Austria	Germany		
	3.			4		Austria	United States of America		
	4.			190		Czech Republic			
	5.			4		Germany			
	6.			13		Greece	Italy		
	7.			151		Slovakia	Austria		

Category of arms <sup>4</sup> [I-VIII]		Authorised or actual imports <sup>5</sup>		Extent of imports <sup>6</sup> (choose one or both)		Exporting State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
		Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
	8.			217		Slovenia	Austria		
	9.			4		Slovenia	Italy		
	10.			4		United Kingdom of Great Britain	Italy		
	11.			29		United States of America			
2.	Rifles and carbines	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<b>578</b>					
	1.			33		Austria			
	2.			17		Austria	Belgium		
	3.			4		Austria	Germany		
	4.			5		Austria	Japan		
	5.			103		Belgium			
	6.			38		Belgium	Portugal		
	7.			62		Czech Republic			
	8.			2		Estonia	Belgium		
	9.			1		Estonia	Germany		
	10.			6		Estonia	United Kingdom of Great Britain		
	11.			8		Estonia	United States of America		
	12.			9		Finland			
	13.			45		Germany			
	14.			15		Italy			

Category of arms <sup>4</sup> [I-VIII]		Authorised or actual imports <sup>5</sup>		Extent of imports <sup>6</sup> (choose one or both)		Exporting State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
		Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
	15.			136		Russian Federation			
	16.			6		Slovenia	Finland		
	17.			2		Slovenia	Italy		
	18.			54		Spain			
	19.			27		United Kingdom of Great Britain	Various states: United Kingdom of Great Britain, Germany, Spain, Czech Republic, United States of America, South Africa		Used and new sporting rifles
	20.			5		United States of America			
3.	Sub-machine guns	<input type="checkbox"/>	<input type="checkbox"/>						
4.	Assault rifles	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<b>925</b>					
				920		Bulgaria	Serbia		
				5		Israel			
5.	Light machine guns	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<b>420</b>					
				114		Bulgaria			
				306		Bulgaria	former SFRY		
6.	Others	<input type="checkbox"/>	<input type="checkbox"/>						
<b>Light Weapons (aggregated)<sup>17</sup></b>		<input type="checkbox"/>	<input checked="" type="checkbox"/>	<b>957</b>					Actual import – arrival of equipment in the

Category of arms <sup>4</sup> [I-VIII]		Authorised or actual imports <sup>5</sup>		Extent of imports <sup>6</sup> (choose one or both)		Exporting State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
		Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
									importer's territory
1.	Heavy machine guns	<input type="checkbox"/>	<input type="checkbox"/>						
2.	Hand-held under-barrel and mounted grenade launchers	<input type="checkbox"/>	<input type="checkbox"/>						
3.	Portable anti-tank guns	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<b>37</b>					
				37		Czech Republic	Romania		
4.	Recoilless rifles	<input type="checkbox"/>	<input type="checkbox"/>						
5.	Portable anti-tank missile launchers and rocket systems	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<b>886</b>					
				3		Montenegro	United States of America		for testing purposes
				783		Montenegro	former SFRY		
				100		Slovakia			
6.	Mortars of calibres less than 75 mm	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<b>34</b>					
				34		Montenegro	former SFRY		
7.	Others	<input type="checkbox"/>	<input type="checkbox"/>						
<b>C. Voluntary National Categories<sup>18</sup></b> (please define in Annex 2)									
		<input type="checkbox"/>	<input type="checkbox"/>						

Category of arms <sup>4</sup> [I-VIII]	Authorised or actual imports <sup>5</sup>		Extent of imports <sup>6</sup> (choose one or both)		Exporting State <sup>9</sup>	State of origin (if not exporter) <sup>10</sup>	Remarks <sup>11</sup>	
	Auth.	Act.	Number of items <sup>7</sup>	Value <sup>8</sup>			Description of Item	Comments on the transfer
1	2	3	4	5	6	7	8	9
	<input type="checkbox"/>	<input type="checkbox"/>						
	<input type="checkbox"/>	<input type="checkbox"/>						
	<input type="checkbox"/>	<input type="checkbox"/>						
	<input type="checkbox"/>	<input type="checkbox"/>						
	<input type="checkbox"/>	<input type="checkbox"/>						

**EXPLANATORY NOTES**

- 1) States Parties that do not have any exports and/or imports to report should file a "nil report" clearly stating that no exports/imports have taken place in any of the categories during the reporting period. Templates for such nil reports are included in Annex 3.
- 2) Date for collected statistics (for instance 30 June or 31 December).
- 3) Based on UN Registry practice. An international arms transfer could mean, in addition to the physical movement of equipment to or from national territory, the transfer of title to- and control over the equipment. Other criteria are also possible. States Parties should here provide a description of the national criteria used to determine, for control purposes, exactly when an arms transfer takes place.
- 4) As outlined in Articles 2 (1) (a)-(h) and 5(3). **For more precise definitions of the categories, see Annex 1.**
- 5) Article 13(3) allows reporting of either authorised or actual exports / imports. The choice can be made at the national level for a report as a whole or category by category. Please indicate by ticking the appropriate box for each category reported whether the value represents authorisations (Auth.) or actual exports (Act.). **It is highly desirable that national choices in this respect, once made, should remain stable over time for reasons of consistency and continuity.** A State Party wishing to report both quantity and value may of course do so, but then needs to submit two tables, one for authorised exports / imports and the other for actual exports / imports.
- 6) The size of exports / imports may be indicated either as quantity or as value. The choice can be made at the national level for each category of arms, but, **once made, should remain stable over time for reasons of consistency and continuity.** A State Party wishing to report both quantity and value may of course do so.
- 7) Standard UN Registry reporting variable. Please indicate unit, if not 'pieces'
- 8) Optional alternative. Please indicate unit (for example national currency)
- 9) In line with UN Registry practice
- 10) In line with UN Registry practice. NB: This is a shaded column, voluntary in terms of the obligations of the ATT
- 11) In line with UN Registry practice. In the first "Remarks" column, States Parties may, if they so wish, describe the item transferred by entering the designation, type, model or any other information considered relevant. The second column may be used to explain or clarify the nature of the transfer - for instance if it is temporary (e.g. for exhibitions or repairs), or if it is industrial in nature (perhaps intended for integration into a larger system). NB: These are shaded columns, voluntary in terms of the obligations of the ATT

- 12) As outlined in Article 2 (1) (a)-(g), See Annex 1 for the UN Registry's more precise definitions of the categories I-VII, including subcategories.
- 13) See Article 5(3)
- 14) As outlined in Article 2 (1) (h), with sub-categories taken from the UN Registry template for voluntary reporting of Small Arms and Light Weapons. This choice has been made provisionally, pending later agreement between States Parties on the desirability of using this or another UN definition of SALW sub-categories (for instance from the UN Firearms Protocol or the International Tracing Instrument - ITI). NB: The SALW sub-categories in this report are shaded, representing voluntary information in terms of the obligations of the ATT
- 15) "national definitions shall not cover less than the descriptions used in relevant United Nations instruments at the time of entry into force of this Treaty" (Article 5(3))
- 16) In line with UN Registry practice, States Parties may choose between reporting small arms by sub-type or as an aggregate.
- 17) In line with UN Registry practice, States Parties may choose between reporting light weapons by sub-type or as an aggregate.
- 18) Article 5(3) encourages States Parties to apply the provisions of the Treaty to the broadest range of conventional weapons. Any such additional categories are voluntary and categories used may vary between States Parties. If provided at all, extra categories should be more precisely defined in Annex 2.

**ANNEX 1****UN Registry Definitions of Categories I-VII<sup>1</sup>****I. Battle tanks**

Tracked or wheeled self-propelled armoured fighting vehicles with high cross-country mobility and a high-level of self-protection, weighing at least 16.5 metric tons unladen weight, with a high muzzle velocity direct fire main gun of at least 75 millimetres calibre.

**II. Armoured combat vehicles**

Tracked, semi-tracked or wheeled self-propelled vehicles, with armoured protection and cross-country capability, either: (a) designed and equipped to transport a squad of four or more infantrymen, or (b) armed with an integral or organic weapon of at least 12.5 millimetres calibre or a missile launcher.

**III. Large-calibre artillery systems**

Guns, howitzers, artillery pieces, combining the characteristics of a gun or a howitzer, mortars or multiple-launch rocket systems, capable of engaging surface targets by delivering primarily indirect fire, with a calibre of 75 millimetres and above.

**IV. Combat aircraft**

- a) Manned fixed-wing or variable-geometry wing aircraft, designed, equipped or modified to engage targets by employing guided missiles, unguided rockets, bombs, guns, cannons or other weapons of destruction, including versions of these aircraft which perform specialized electronic warfare, suppression of air defence or reconnaissance missions;
- b) Unmanned fixed-wing or variable-geometry wing aircraft, designed, equipped or modified to engage targets by employing guided missiles, unguided rockets, bombs, guns, cannons or other weapons of destruction.

The term “combat aircraft” does not include primary trainer aircraft, unless designed, equipped or modified as described above.

**V. Attack helicopters**

- a) Manned rotary-wing aircraft, designed, equipped or modified to engage targets by employing guided or unguided anti-armour, air-to-surface, air-to-subsurface, or air-to-air weapons and equipped with an integrated fire control and aiming system for these weapons, including versions of these aircraft which perform specialized reconnaissance or electronic warfare missions;
- b) Unmanned rotary-wing aircraft, designed, equipped or modified to engage targets by employing guided or unguided anti-armour, air-to-surface, air-to-subsurface, or air-to-air weapons and equipped with an integrated fire control and aiming system for these weapons.

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<sup>1</sup> Excerpted from the 2014 UN Registry reporting template



**VI. Warships**

Vessels or submarines armed and equipped for military use with a standard displacement of 500 metric tons or above, and those with a standard displacement of less than 500 metric tons, equipped for launching missiles with a range of at least 25 kilometres or torpedoes with similar range.

**VII. Missiles and missile launchers<sup>2</sup>**

- a) Guided or unguided rockets, ballistic or cruise missiles capable of delivering a warhead or weapon of destruction to a range of at least 25 kilometres, and means designed or modified specifically for launching such missiles or rockets, if not covered by categories I through VI. For the purpose of the Register, this sub-category includes remotely piloted vehicles with the characteristics for missiles as defined above but does not include ground-to-air missiles.
- b) Man-Portable Air-Defence Systems (MANPADS)<sup>3</sup>.

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<sup>2</sup> Multiple-launch rocket systems are covered by the definition of category III.

<sup>3</sup> MANPADS should be reported if the MANPAD system is supplied as a complete unit, i.e. the missile and launcher/Grip Stock form an integral unit. In addition, individual launching mechanisms or grip-stocks should also be reported. Individual missiles, not supplied with a launching mechanism or grip stock need not be reported.



## ANNEX 3 A

NIL REPORT  
Exports of Conventional Arms<sup>1</sup>

<b>Reporting country :</b>		<b>Calendar Year :</b>	
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The Government of \_\_\_\_\_,

with reference to Article 13 (3) of the Arms Trade Treaty, hereby submits a 'nil report' for exports from territory under our jurisdiction. This report serves to confirm that

<input type="checkbox"/>	no actual exports of conventional arms listed in Article 2 (1) of the Arms Trade Treaty have taken place from territory under our jurisdiction during the reporting period indicated above.
<input type="checkbox"/>	no export authorizations have been issued for conventional arms listed in Article 2 (1) of the Arms Trade Treaty during the reporting period indicated above.

<b>This nil report on exports is available only to States Parties</b>	<input type="checkbox"/>
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## ANNEX 3 B

NIL REPORT  
Imports of Conventional Arms<sup>1</sup>

<b>Reporting country :</b>		<b>Calendar Year :</b>	
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The Government of \_\_\_\_\_,

with reference to Article 13 (3) of the Arms Trade Treaty, hereby submits a 'nil report' for imports from territory under our jurisdiction. This report serves to confirm that

<input type="checkbox"/>	no actual imports of conventional arms listed in Article 2 (1) of the Arms Trade Treaty have taken place to territory under our jurisdiction during the reporting period indicated above.
<input type="checkbox"/>	no import authorizations have been issued for conventional arms listed in Article 2 (1) of the Arms Trade Treaty during the reporting period indicated above.

<b>This nil report on imports is available only to States Parties</b>	<input type="checkbox"/>
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